100&CHANGE

Application

Prior to the launch of the *100&Change* competition, we are offering this sample of the application requirements. We are providing this **ABRIDGED SAMPLE COPY**, so that you can begin considering your proposal as soon as possible. We encourage you to read the application questions that will be required of you should you decide to submit an application for *100&Change*. Please use the organizational readiness tool, to help inform your likely competitiveness for *100&Change*. You can also download a sample version of the organizational readiness tool at this website.

Please note that this is a static and **partial** version of the *100&Change* application that is meant to be useful for teams to start preparing to submit their application once the competition portal opens in April. ***This is not the actual application and the sponsor reserves the right to modify or change the application in whole or in part***. In this partial application, we include some basic instructions for how to respond to any question or prompt in [brackets]. Additional information will be required of you, and full instructions on how to complete this application will be available when we launch the application portal in April. Once the portal is open, we will be able to answer questions you may have about the application. Until then, we will not be directly responding to questions regarding the content of the sample application.

 THIS APPLICATION IS NOT SUBMITTABLE. All applications must be submitted via the online portal to be considered eligible. Any application received through any other channels will not be considered valid.

WE WILL NOT CONSIDER ANY APPLICATIONS SENT TO US VIA PAPER, EMAIL, OR IN ANY FORMAT OUTSIDE OF THE ONLINE PORTAL.

 Once the competition officially launches, you can register and access the full and interactive version of the application. You may use this sample copy to begin formulating a proposal. Please wait until the interactive application launches to finalize any responses. The MacArthur Foundation reserves the right to change and/or adapt any question or prompt provided here.

Throughout this sample copy of the *100&Change* Application, you will find embedded links to websites. Those links are indicated by bold-faced and underlined font. Some of those links are active, while others are not. Any inactive link will become active when the competition is launched.

QUICK PITCH

This is your opportunity to make a strong first impression. Offer a brief and compelling overview of your proposal. Avoid using jargon or language that a layperson may not understand. The information in this section is likely to be made publicly available in a variety of online settings. Provide the title of your project. Choose a name for your project which easily identifies your solution and distinguishes it from any other projects.

[10 words (*maximum response*)]

Project Description (one sentence). Provide a short description for your project in one sentence.

[25 words (*maximum response*)] 2

Executive Summary (one paragraph). Write an overview of your project that answers the following three questions:

- What is a brief description of the problem that you are trying to solve?
- How will you solve it?
- How will your solution change the lives of the people you wish to serve, including historically marginalized people within that population?

Your Executive Summary should be a stand-alone statement of the problem and solution. It should not require any other context to clearly explain what you are seeking to accomplish.

[150 words (*maximum response*)]

VIDEO PRESENTATION

You are required to submit a video that captures your project and why it should be funded. The video is an opportunity showcase your passion and pitch your story in a succinct format. We want you to share your vision with the judges in a way that is different from the written proposal format. This DOES NOT need to be a professionally produced video.

In order to complete this part of your application, your team will upload a short digital film using YouTube. Set the Privacy Settings on your video to Public or Unlisted – do not set them to Private. Your video may be extracted from your submission and made available to the public and other donors. Appeal to a broad audience. Video submissions should follow these guidelines:

- A length of 30-90 seconds (no more than 90 seconds).
- We recommend that only one to two people should present during the video.
- Focus on providing a personal connection; **it is not necessary to produce a sophisticated video**.
- Your pitch must be in English.
- Your video must be captioned. See these **instructions** on how to use YouTube automatic captioning.
- Privacy settings on your video are set to Public or Unlisted.

Video submissions that are longer than 90 seconds, do not included closed captioning, or are not set to public/unlisted settings will render the application ineligible. Here are some logistical and technical suggestions:

- Video cameras, digital cameras, phones, or laptops are easy-to-use devices for recording video.
- If possible, set to low resolution to reduce file size. This enables easier video uploading. If you are having difficulty uploading the video file, try logging out of the application and logging back in using another Internet browser (Google Chrome is the preferred browser).

Here are general suggestions for delivering a high-quality video pitch:

- Introduce yourself and your organization(s) and/or team.
- Describe the problem that you are committed to solving.
- Explain your solution.
- Explain what is unique about your solution.
- Describe how you would you plan to measure success and achieve broad but meaningful impact.

[Enter YouTube URL/ID]

Your Team

Now that you've provided a brief overview of your project, focus on the talent and management of your team.

Principal Organization legal name. While you have already provided a legal name of your Principal Organization during the registration process, we allow each team to change that information during the application process. Here, you must restate or update the legal name of the final Principal Organization for your team, who will be responsible for receiving and taking

accountability for any grant funds, as well as providing the direction, control, and supervision for the project.

[5 words (*maximum response*)]

Principal Organization Website (optional). What is the URL of the website for your Principal Organization?

[Enter URL]

Primary area of expertise for the Principal Organization (select one):

[you will be provided with a discrete list from which to select your "area of expertise"]

Does your team consist of two or more organizations?
❑ No
❑ Yes

If YES is selected (above), then the following two (2) questions/prompts will appear.

Memorandum of Understanding

If your team consists of two or more organizations, all of the partners working within a formal collaboration must execute a Memorandum of Understanding (MOU) in which one organization clearly has control and discretion over the use of the grant funds. A fully-executed MOU that controls the relationships among the parties must be uploaded. Learn more about MOUs by watching **this webinar on Common Legal Challenges for applicants**. For additional guidance, read the **Requirements Regarding Any Proposed Collaboration.** You must convert your MOU to a portable document format (PDF) file. We do not accept any other file types. Your PDF file cannot exceed 10 megabytes (MB). File sizes exceeding 10MB cannot be uploaded.

[UPLOAD (*maximum*) 10MB PDF file]

Case for Partnership. If your team consists of two or more organizations and has executed an MOU, present a clear case as to why it is important to collaborate and why you believe this will be an effective collaboration. Your response can include:

- Have the entities successfully collaborated in the past?
- What can the two or more organizations accomplish together that they couldn't accomplish alone?
- How your team is uniquely positioned to deliver results and why you are the best choice to solve this problem?
- Describe your team's previous performance or relevant experience that highlights your ability to deliver results;
- Description of the largest project you've overseen in the past (financially and/or in terms of the number of people involved);

[250 words (*maximum response*)]

If NO is selected (above), then the following question/prompt will appear.

Why you? Explain how your team is uniquely positioned to deliver results and why you are the best choice to solve this problem. Your response can include:

- How your solution aligns with the primary purpose of your organization(s) or, if there is loose or indirect alignment, explain why you have decided to solve this problem;
- How your team is uniquely positioned to deliver results and why you are the best choice to solve this problem?
- Your team's previous performance or relevant experience that highlights your ability to deliver results;
- Description of the largest project you've overseen in the past (financially and/or in terms of the number of people involved).

Emphasize that you have the right capabilities, experience, and commitment to execute your project.

[250 words (*maximum response*)]

Biographies of Key Staff. For each of the top three managers, who are responsible for the success of the project, please provide a name (First/Last), affiliation, and a brief biographical statement. The biographical statement should include the title for the manager and emphasize those credentials and experiences which are most relevant to the project.

MANAGER #1 (FIRST NAME):	**[5 words (*maximum response*)]**
MANAGER #1 (LAST NAME):	**[5 words (*maximum response*)]**
MANGER #1 (PRIMARY AFFILIATION):	**[5 words (*maximum response*)]**
MANAGER #1 (BIOGRAPHY):	**[50 words (*maximum response*)]**

MANAGER #2 (FIRST NAME):	**[5 words (*maximum response*)]**
MANAGER #2 (LAST NAME):	**[5 words (*maximum response*)]**
MANGER #2 (PRIMARY AFFILIATION):	**[5 words (*maximum response*)]**
MANAGER #2 (BIOGRAPHY):	**[50 words (*maximum response*)]**

MANAGER #3 (FIRST NAME):	**[5 words (*maximum response*)]**

MANAGER #3 (LAST NAME):	**[5 words (*maximum response*)]**
MANGER #3 (PRIMARY AFFILIATION):	**[5 words (*maximum response*)]**
MANAGER #3 (BIOGRAPHY):	**[50 words (*maximum response*)]**

The Problem

Choosing the right problem to solve is the first step towards developing an effective solution. Show that you understand the nuances of the challenges ahead and that you've researched and engaged experts who have informed your strategy.

Problem Statement. Describe the specific challenges that your solution will address, using non-expert language (do not cite papers or studies). Share the most specific articulation of those issues and, if appropriate, connect it to any larger social concerns or systems. As part of your description address:

- Who is impacted by the problem?
- Why does the problem exist in the current environment?
- What are the most influential ways to effect the necessary change, and where are the leverage points where the smallest change can have the biggest impact?

Focus on setting the stage for your solution (versus describing how you intend to solve it).

[250 words (*maximum response*)]

Demand to Address the Problem. Is the chosen problem recognized as urgent among stakeholders (target beneficiaries, local leaders, government agencies) in the locations where you are planning to scale your solution? Is there currently a demand for solving the problem among those stakeholders?

[150 words (*maximum response*)]

Your Solution

Take a deep dive into explaining how you to intend to solve the problem.

Solution Overview. Using non-expert language (do not cite papers or studies), address the following questions:

- How does your solution meaningfully contribute to resolving the chosen problem?
- How will you know that you are making progress?
- Describe the impact that your solution will have on the chosen problem over a five-year grant period. Will it have broad impact on a large population or geography, or will it have deep and intense impact on a small population or geography?
- Describe who will benefit from your solution and the benefits or outcomes of your solution.

[250 words (*maximum response*)]

Does your solution require a technical, scientific, medical, or engineering process?
❑ No
❑ Yes

If YES is selected, then the following question/prompt will appear.

Technical Explanation. If your solution involves a technical, scientific, medical, or engineering process, use this space to offer more detail for your specific technical approach that was not suitable for a lay audience. If necessary, you may cite academic literature or papers in this section by placing a bracketed number [#] next to each citation that you will later reference in your Bibliography.

[200 words (*maximum response*)]

Priority Populations. Select your priority population(s) as the primary target beneficiaries for your solution. While not all projects directly serve human populations, applicants should be able to identify a target population that will benefit from the project (including advocacy or environmental projects where human populations may be indirect beneficiaries). You must select at least one priority population as your primary target beneficiaries, and you are welcome to provide up to three.

PRIORITY POPULATION #1:	**SELECT FROM THE LIST OF PRESCRIBED OPTIONS**
PRIORITY POPULATION #2:	**SELECT FROM THE LIST OF PRESCRIBED OPTIONS**
PRIORITY POPULATION #3:	**SELECT FROM THE LIST OF PRESCRIBED OPTIONS**

Diversity, Equity, and Inclusion. Explain how you will ensure, or have ensured, that your solution will be inclusive. For example, how will it include or engage the community in which your project will take place (or has taken place?) and also include historically marginalized populations, including persons with disabilities, religious or ethnic minorities, people of color, native/indigenous peoples, women, and gender identity and sexual orientation minorities. Provide additional details on how you will provide opportunities and reasonable accommodations for those populations to either engage with and/or benefit from your solution, including as staff, advisors, partners, et al. Read our statement on **Diversity, Equity, and Inclusion**.

[200 words (*maximum response*)]

Theory of Change. Describe the logic of how your solution will lead to the desired outcome. Your Theory of Change should read as a narrative explanation of both the projected and desired outcomes. Emphasize the methodologies that you intend to employ and how they create a causal link to your shorter-term, intermediate, and longer-term goals. As you develop your response, consider this **Practical Guide for Creating a Theory of Change**.

[250 words (*maximum response*)]

Barrier Assessment and Risk Mitigation. Describe any barriers to the short and long-term success of the project and your plans to mitigate them. Barriers can include problems inhibiting solution scalability, political or public policy concerns, or any other potential operational or tactical hurdles that may hinder your solution's success. What are the most threatening barriers? How are you prepared to address them?

[150 words (*maximum response*)]

Select the primary subject area of the solution that you and your team are proposing.

PRIMARY SUBJECT AREA: | **SELECT FROM THE LIST OF PRESCRIBED OPTIONS**

Location of Current Work. Where are you currently implementing your solution? Select all locations that apply. If you are not currently implementing your project, you may select NOT CURRENTLY IMPLEMETING SOLUTION.

LOCATION OF CURRENT WORK: | **SELECT FROM THE LIST OF PRESCRIBED OPTIONS**

Location of Future Work. Where are you planning to implement your solution, if you are awarded a MacArthur *100&Change* grant? Select all locations that apply.

LOCATION OF FUTURE WORK: | **SELECT FROM THE LIST OF PRESCRIBED OPTIONS**

Alignment with the United Nations Sustainable Development Goals. The United Nations' Sustainable Development Goals (SDGs) are considered by many donors when looking to support both domestic and international work. Select one or more of the SDGs that aligns with your

solution. To learn more about each SDGs and/or to understand better where your work fits into the SDG framework you can read more **here**.

❑ No Poverty   ❑ Reduced Inequality

❑ Zero Hunger   ❑ Sustainable Cities and Communities

❑ Good Health and Well-being   ❑ Responsible Consumption and Production

❑ Quality Education   ❑ Climate Action

❑ Gender Equality   ❑ Life Below Water

❑ Clean Water and Sanitation   ❑ Life on Land

❑ Affordable and Clean Energy   ❑ Peace and Justice Strong Institutions

❑ Decent Work and Economic Growth   ❑ Partnerships to achieve the Goal

❑ Industry, Innovation and Infrastructure

Solution Stage. Select the stage of development that best represents your solution. Use the organizational readiness tool to better understand your ability to be competitive. Select one.

❑ Research and ideation: You are in the process of developing a new solution. You have not yet started implementation with target beneficiaries and do not have evidence of impact. Note that solutions without an existing evidence base are unlikely to be competitive in this process. In fact, solutions with weak evidence of effectiveness are likely to be screened out of the competition.

❑ Pilot: You have developed a new solution and are in the process of testing and refining it with a small number of target beneficiaries. You are beginning to develop evidence of impact. Note that solutions without an existing (or with only a limited) evidence base are unlikely to be competitive in this process. In fact, solutions with weak evidence of effectiveness are likely to be screened out of the competition.

❑ Proof of concept: You have a prototype concept that you are pressure testing in different settings or environments to determine whether its outcomes are durable in different contexts and

which adaptations are possible. Note that solutions with only a limited evidence base are unlikely to be competitive in this process. In fact, solutions with weak evidence of effectiveness are likely to be screened out of the competition.

❑ Scaling: You have evidence that your solution achieves impact and are in the process of expanding and adapting the solution to a greater number of target beneficiaries, potentially in new contexts or geographies. You are continuing to monitor for impact and refine the solution but have yet to achieve large-scale implementation.

❑ Operating at scale: You are delivering your solution at scale and are in the process of expanding target beneficiaries substantially. You are continuing to monitor for impact and refine the solution.

Project Website (optional). Provide us with a URL to your project website, if one exists. Having a project website is not a requirement for the competition and will not impact your eligibility.

[Enter URL]

Key Words. Provide a list of five key words that can best be used to describe your project. Choose key words that capture the essence of your project and its intended outcomes.

[Enter 5 words]

Projected Impact

Evidence of Effectiveness. What evidence do you have, or why do you believe that the solution you propose will work? Present any internal evidence or formal academic evidence. If necessary, you may cite academic literature or papers. Use brackets and numbers to indicate relevant citations [#], which you can later clarify in a separate Bibliography section. If you have previously

indicated that your solution requires a technical, scientific, medical, or engineering process, then you may have already begun providing citations [#]. You do not need to repeat any citations here. The purpose of providing citations throughout the application is to affirm that any claims have been investigated and/or verified by a credible source or authority.

[250 words (*maximum response*)]

Type of Evidence. What kind of data do you collect and/or will you collect to measure success? Check the boxes which best describe the kind of evidence you currently have or will have to show that your solution works. Use the organizational readiness tool to understand your ability to be competitive, based on the type of evidence that you have collected and/or are planning to collect. Select all that apply.

❑ Informal check-ins with the people you serve to see how things are going
❑ Formally collecting and documenting qualitative feedback
❑ Routinely collecting program and other data on progress
❑ Piloting or user testing new programs, products, or policies
❑ Internally conducting evaluation or assessment of outcomes
❑ Externally conducting evaluation or assessment of outcomes
❑ External assessment on impact (e.g. randomized control trial; quasi-experimental design; contribution analysis; collective impact)
❑ Other: [10 words (*maximum response*)]

Monitoring, Evaluation, and Learning.
As you develop your responses, review our **Guidance for Monitoring, Evaluation, and Learning** for context. The MacArthur Foundation values monitoring, evaluation, and learning activities that are capable of flexing as the context may change and as the work evolves, yet sufficiently rigorous to document and measure results, learn from them, course correct, and adapt, as necessary.

Briefly describe what you expect to learn over the course of implementing your solution and how you plan to learn it. This is the first step toward developing a more comprehensive Monitoring, Evaluation, and Learning plan. Your response should address:

- • How are you thinking about evaluating your Theory of Change?
- • What are your methods for collecting data?
- • How will that data be used in ongoing performance improvement?
- • How will you use data to learn if the project is successful?

[250 words (*maximum response*)]

Planning for Scale and Amplifying Impact. Describe your plan for scaling your solution and its benefits. Scale may mean expanding to new populations or geographies, or it may mean how you intend to amplify your impact more deeply in one geography or population or context. If appropriate, explain whether and how you will need to tailor and adapt your solution for scaling and/or amplifying impact. What is the evidence, or why do you believe your results can be replicated? As you prepared your response, read MSI's **Scaling-Up: Tools & Techniques for Practitioners** and **Scaling-Up: Management Framework for Practitioners**.

[250 words (*maximum response*)]

Durability of Impact. Describe the durability of your solution. Does your solution expect to solve the problem in five years or create a pathway to solving the problem over a longer time horizon? Think through the durability of the direct and indirect impact of your solution.

[150 words (*maximum response*)]

Bibliography. If you included citations [#s] in the Technical Explanation and/or Evidence of Effectiveness sections of your application, provide your bibliography here with a corresponding

bracketed number [#] for each citation. If you did not insert citations, offer a list of resources that may be used to validate general claims made in your application. Please link to any articles that may be accessed online, even if behind a paywall. We recognize that there are many standards for writing a bibliography, and we do not enforce any particular format. It is your responsibility to make those citations easy-to-understand.

[250 words (*maximum response*)]

Resource Requirements

Explain your project plans and the related costs to implement your solution.

Project Phases. Divide your project into **three phases** over a five-year grant period. For each phase, provide the following information:

- Name each phase.
- Explain how long each phase will take (in months).
- Describe the results that you intend to measure for each phase (milestones), and any key activities critical to reaching those results, to know if you are successful or on track to be successful during the prescribed duration.

This could be a plan for achieving full impact, if your solution can be completed in a five-year grant period, or this could be a plan to show your interim results toward achieving longer-term impact over more than five years. Your description of each phase will be used later to compare any details for achieving milestones against the financial details of your project.

PHASE #1 (NAME):	[**10 words (*maximum response*)**]
PHASE #1 (DURATION):	[**# of months**]

PHASE #1 (DESCRIPTION):	**[150 words (*maximum response*)]**

PHASE #2 (NAME):	**[10 words (*maximum response*)]**
PHASE #2 (DURATION):	**[# of months]**
PHASE #2 (DESCRIPTION):	**[150 words (*maximum response*)]**

PHASE #3 (NAME):	**[10 words (*maximum response*)]**
PHASE #3 (DURATION):	**[# of months]**
PHASE #3 (DESCRIPTION):	**[150 words (*maximum response*)]**

Total Resource Requirements. Will the total projected costs exceed $100 million (USD)? Your budget must be a minimum of $100 million (USD) to be considered.

❑ No

❑ Yes

If YES is selected, then the following question/prompt will appear.

Explain how you have secured (or plan to secure) the balance of any necessary funds.

[100 words (*maximum response*)]

Other funders. Has this project received support from any other funders?

❑ No

❑ Yes

If YES is selected, then the following question/prompt will appear.

Provide the name of the top five (5) funder(s) and the time period of funding for each funder. You may enter fewer than five, if appropriate. For each funder, be prepared to provide the following information:

Funder (Name):	[**5 words (*maximum response*)**]
Funder (period of funding):	[**Enter specific years for funding period**]
Funder (amount of funding secured):	[**Enter amount in United States Dollars (USD)**]

Budget Narrative. Offer a broad and narrative description of your budget needs for the $100 million (USD) grant. As you draft this narrative, map your costs against each of your 3 defined phases. Here are general guidelines for writing your Budget Narrative:

- Your budget must sum to $100 million in United States Dollars (USD) – convert foreign currency to USD.
- Your budget must allocate no more than $10 million (USD) to a contingency fund or budget buffer.
- In MacArthur's experience, funds to support Monitoring, Evaluation, and Learning activities typically require anywhere from 5-20% of the total projected total costs. Review our **Guidance for Monitoring, Evaluation, and Learning** for more context.
- Familiarize yourself with the **Indirect Cost Policy** and represent how you intend to address any indirect cost categories.
- Your budget should afford reasonable accommodations to make your project accessible to people with disabilities, as implementors, participants, and beneficiaries. Estimates frequently used for an inclusive (i.e. non-disability-specific) project are 1-3% of administrative costs and 5-7% of program costs. Review this **Guidance on Budgeting for Inclusion** for more context.

As you link descriptions of your budget needs to the 3 phases that you have previously described, a reviewer should be able to read your description of the phases and key results and understand how your budget relates to the milestones that you are planning to achieve. Where cost categories may cut across those phases (e.g., to ensure reasonable accommodations for people with disabilities, to include Indirect Costs, a budget buffer, and/or cost for Monitoring, Evaluation, and Learning), you are welcome to describe where and how much they relate to your budget narrative for each phase.

[250 words (*maximum response*)]

Detailed Budget. Based on the 3 phases and the related budget narrative that you have described above, provide a detailed breakdown of total costs for each phase. Cost categories for each phase should include, but not be limited to, descriptions that you've already explained in more detail as part of your Budget Narrative. You are encouraged also to include any more detailed cost categories that support a more thorough description of your total costs. Use this opportunity to reflect and clarify any general explanations provided in your Budget Narrative. Your detailed budget must equal $100 million (USD). If you are operating using a foreign currency, please convert the foreign currency to USD. All budgets must total $100 million (USD) for proposals to be eligible. Do not round any USD amounts – provide the complete amount in USD for each line item.

PHASE #1: BUDGET BREAKDOWN [in United States Dollars (USD)]

LINE ITEM DESCRIPTION	AMOUNT (USD)
ENTER COST CATEGORY & DESCRIPTION	($ ENTER COST)
ENTER COST CATEGORY & DESCRIPTION	($ ENTER COST)
ENTER COST CATEGORY & DESCRIPTION	($ ENTER COST)
SUB-TOTAL:	($ TOTAL COST)

PHASE #2: BUDGET BREAKDOWN [in United States Dollars (USD)]

LINE ITEM DESCRIPTION	AMOUNT (USD)
ENTER COST CATEGORY & DESCRIPTION	($ ENTER COST)
ENTER COST CATEGORY & DESCRIPTION	($ ENTER COST)
ENTER COST CATEGORY & DESCRIPTION	($ ENTER COST)
SUB-TOTAL:	($ TOTAL COST)

PHASE #3: BUDGET BREAKDOWN [in United States Dollars (USD)]

LINE ITEM DESCRIPTION	AMOUNT (USD)
ENTER COST CATEGORY & DESCRIPTION	($ ENTER COST)
ENTER COST CATEGORY & DESCRIPTION	($ ENTER COST)
ENTER COST CATEGORY & DESCRIPTION	($ ENTER COST)
SUB-TOTAL:	($ TOTAL COST)

Your total costs for this Detailed Budget cannot exceed $100 million (USD).

TOTAL COSTS: ALL PHASES [in United States Dollars (USD)]

SUMMARY	AMOUNT (USD)
PHASE #1: SUB-TOTAL	($ ENTER COST)
PHASE #2: SUB-TOTAL	($ ENTER COST)
PHASE #3: SUB-TOTAL	($ ENTER COST)
TOTAL (*must equal $100 million USD*):	($ TOTAL COST)

Financial Sustainability. How do you plan to operate and sustain the impact of your project over time? If your plan requires additional resources in the future, above and beyond the $100 million (USD) budget, to be sustainable, describe the most likely pathway for securing any additional and ongoing support. If you believe your plan will NOT require additional financial resources to be sustainable, explain why.

[150 words (*maximum response*)]

Other Resource Requirements. This is your opportunity to describe your need for any **non-financial** resources, to achieve the goals articulated in you plan.

[100 words (*maximum response*)]

Legal Compliance

The following information is required to ensure your compliance with specific legal conditions, which are further explained in the **RULES**.

Charitable Purpose. What is the charitable purpose of your project? Describe how the public or a subset, which is a charitable class, will benefit from your project. A charitable class must generally be an indefinite number of individuals who are the subject of the charitable purpose and not a limited number of specified individuals. For example, the class can be needy persons within a disadvantaged community but not a specified person in the community, even if the person is disadvantaged. There can be a comparatively small number of individuals, if the individuals are not identified and the class is open ended. Learn more about Charitable Purpose by watching **this webinar on Common Legal Challenges for applicants**.

[150 words (*maximum response*)]

Private Benefit. Will private interests (such as shareholders, for-profit companies, contractors, consultants, or other individuals) benefit more than incidentally from the project as compared to

the public or charitable benefit? If your project will trigger any private benefit to one or more individuals, provide an explanation of how the public benefit cannot be achieved without necessarily benefiting those individuals and to what degree any private benefit compares to public benefit. It is insufficient to say that benefits gained will be due to all of humanity benefitting. Learn more about private benefits by watching **this webinar on Common Legal Challenges for applicants** and by reading our **Private Benefit Rules**. If your project will not benefit any private interests, provide an explanation of your response.

[150 words (*maximum response*)]

Lobbying Activities. Does your project involve any efforts to effect public policy through changes in existing legislation or the enactment of new legislation, and does your project require lobbying activities with respect to a specific legislative proposal? You may refer to our **Lobbying Policy** for clarification.
❏ No
❏ Yes

If YES is selected, then the following question/prompt will appear.

Explain how the project involves any lobbying activities.

[150 words (*maximum response*)]

Human Subjects Research. Does your project require any research that would involve human subjects?
❏ No
❏ Yes

Should you be selected as a finalist you will be asked to describe any specific research that involves human subjects. You will be asked to Include in your response evidence of specific

plans and the support mechanisms required to safeguard the rights and welfare of those human subjects. Refer to our **Human Subjects Research Policy** for clarification.

Intellectual Property. Does your project involve the creation or use of any technology or other products, ideas, or processes on which copyright will be asserted or patents claimed?

❑ No

❑ Yes

Should you be selected as a finalist you will be asked describe how you intend to treat such intellectual property to comply with the **RULES**. If your project relies on existing specific technology, products, ideas, or processes on which there are existing claims of copyright or patent right, review our **Intellectual Property Policy**, which describes the treatment of Grant Work Product (as defined therein) funded by the MacArthur Foundation.

Administrative Information

As a registered participant, you have already provided information that may have changed since you first decided to submit an application. Therefore, we are asking you to restate or update certain information provide by you during the registration process, as well as to provide additional administrative information that is required to process your application.

Place of Registration. Select the Country/Location where the Principal Organization is registered. Note that the following conditions apply, based on your Place of Registration.

If your Place of Registration is within the United States, then the MacArthur Foundation reserves the right to reject the application of any organization domiciled or subject to the jurisdiction of a State or territory within the United States, if, in the MacArthur Foundation's sole discretion, the laws of the State or territory applicable to the organization may prohibit or limit the MacArthur Foundation from proceeding with this competition as intended or the consideration or making of any award would impose additional administrative, tax, operation, or legal burdens on the MacArthur Foundation.

If the Principal Organization is NOT registered in the United States, then organizations domiciled or subject to the jurisdiction of a foreign country may be required to certify that the laws of their country do not prohibit or restrict the receipt of the award under this competition. The MacArthur Foundation reserves the right to reject the application of a foreign organization if, in the MacArthur Foundation's sole discretion, the laws of the country applicable to the organization would prohibit or limit the MacArthur Foundation from proceeding with this competition as intended or the consideration or making of any award would impose additional administrative, tax, operational, or legal burdens on the MacArthur Foundation.

Select the Country/Location where the named Principal Organization is legally registered.

> **SELECT FROM THE LIST OF PRESCRIBED OPTIONS**

Street Address: [Enter Street Address using industry standard fields for international address]
City: [Enter City using industry standard fields for international address]
State/Province/Region: [Enter State/Province/Region using industry standard fields for international address]
Zip/Postal Code: [Enter Zip/Postal Code using industry standard fields for international addresses]

Primary contact name. Enter the name of the final Principal Organization's primary point of contact, who will assume a key leadership role coordinating the team and/or affiliated organization(s), answer any questions, and has a working knowledge of roles and responsibilities identified in the application. If you have chosen to name a Principal Investigator (PI) as a member of your team, then the PI may be the primary contact, so long as that person has specific knowledge about both technical and logistical or operational aspects of the application. The primary point of contact for the Principal Organization that is named here may be different from the initial primary point of contact for the Principal Organization named during registration.

Primary Contact (First Name):   **[Enter First Name]**

Primary Contact (Last Name):   **[Enter Last Name]**

Primary contact title: [10 words (*maximum response*)]

Primary contact phone: [input instructions/type to follow industry standards for international phone number]

Primary contact email: [input instructions/type to follow industry standards]

Secondary contact name. Provide the name of a secondary contact. If you have chosen to name a Principal Investigator (PI) as a member of your team and if the PI is not the primary contact, then identify the PI as the secondary contact. Otherwise, the secondary contact should remain available to ensure that the primary point of contact may be reached, if necessary.

Secondary Contact (First Name):   **[Enter First Name]**

Secondary Contact (Last Name):   **[Enter Last Name]**

Secondary contact title: [10 words (*maximum response*)]

Secondary contact phone: [input instructions/type to follow industry standards for international phone number]

Secondary contact email: [input instructions/type to follow industry standards]

Legal status. Confirm the Principal Organization type by selecting one of these options.

❑ An entity under section 501(c)(3) and 509(a)(1) or (2) of the Internal Revenue Code ("IRC") that has received a tax determination letter from the Internal Revenue Service ("IRS").

❑ A private foundation under section 501(c)(3) of the IRC that has received a tax determination letter from the IRS.

❑ A for-profit corporation organized under the laws of a State (or territory) in the United States.

❑ A benefit corporation, flexible purpose entity, or similar "hybrid" entity organized under the laws of a State (or territory) in the United States.

❑ A limited liability company or partnership organized under the laws of a State (or territory) in the United States.

❑ An entity organized under the laws of a foreign jurisdiction which has received a tax determination letter from the IRS or has been determined to be the equivalent of a section 501(c)(3) and 509(a)(1) or (2) entity by NGO Source or the MacArthur Foundation for which an equivalency determination has not expired.

❑ An entity that is recognized under the law of the applicable jurisdiction as a non-governmental organization, an educational organization, a charitable organization, a social welfare organization, a not-for-profit organization, or similar-type entity that is not a for-profit organization or government agency.

❑ A for-profit entity organized and in good standing under the laws of the local jurisdiction in which it operates.

Identification Number for Principal Organization (optional). Provide the appropriate identification number for the Principal Organization. You may choose from this list, if relevant. If you are registered in a jurisdiction that does not provide such an identification number, then this question does not apply to you. This number will be used to link your application to other key data that is publicly available for the associated entity.

[Select from the following List]

Country	Identifier Type
United States (US)	Employer Identification Number (EIN)
Australia	Australian Business Number (ABN)
Brazil	CNPJ (this is for companies)

Canada	Canada Revenue Agency Business/Registration Number
India	FCRA Registration Number
Netherlands	Dutch KVK Number
South Africa	South African Nonprofit Organization Number
United Kingdom (UK)	Charity number
United Kingdom (UK)	Company number
Other	Company or Charity Number
Does not Apply	N/A

Based on the selection from the List (above), provide the associated number – if "Does not Apply" is selected, then do not provide a number.

[Enter ID Number, if relevant]

What is the annual operating budget in United States Dollars (USD) of the Principal Organization? Before answering, use the organizational readiness tool to understand your ability to be competitive according to the size of your annual operating budget.

❑ <$10 Million
❑ $10 to 50 Million
❑ $100 to 200 Million
❑ $200 to 500 Million
❑ $500 Million to $1 Billion
❑ $1 Billion +

How many full-time employees does the Principal Organization employ? Before answering, use the organizational readiness tool to understand your ability to be competitive according to the size of your staff.

❑ <50 Full-time Employees
❑ 50 to 100 Full-time Employees
❑ 100 to 300 Full-time Employees
❑ 300 to 500 Full-time Employees
❑ 500 to 1,000 Full-time Employees
❑ 1,000+ Full-time Employees

Financial Records. We require information necessary to understand the financial health of your Principal Organization. Provide audited financial reports from the past three years for the Principal Organization. Audited financials must be in English, including all columns, rows, and footnotes. While this information is important to assessing your financial health and potential to receive a $100 million (USD) grant from the MacArthur Foundation, we do not intend to distribute your Financial Records during the Peer-to-Peer review process. Your Financial Records may be shared with other staff and Judges, either during the initial Administrative Review process or after the Peer Review process. We do not prescribe the format for your Financial Records, and we recognize that there is a wide range of standards for submitting this information. Please offer the most concise and easy-to-understand copy of your financial records. You must convert your Financial Records to a portable document format (PDF) file. We do not accept any other file types. Your PDF file cannot exceed 10 megabytes (MB). File sizes exceeding 10MB cannot be uploaded.

[UPLOAD (*maximum*) 10MB PDF file]

Did one or more of your organization(s) apply for the previous *100&Change* program in 2016?
❑ No
❑ Yes

ADDITIONAL INFORMATION TO BE PROVIDED

If your team is invited to participate in any future phases of this competition, you may be required to provide additional information (refer to the **RULES** and **Timeline**), including but not limited to:

- A Tax Determination Letter, if applicable.
- Articles of Incorporation, Charter, or similar documentation.
- A more comprehensive Monitoring, Evaluation, and Learning Plan for the project.
- Existing policies, if any, addressing conflicts of interest, whistleblower, internal controls, anti-money laundering, intellectual property, human subjects research, code of conduct, ethics, gifts, and any similar policies governing the organization

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- If you are not a public charity, a statement that any MacArthur Foundation grant funds
- will not be used for lobbying purposes, or if you are a public charity under the Internal Revenue Code, a lobbying budget in which you specify the amount of lobbying expenses and non-lobbying expenses

The MacArthur Foundation reserves the right to perform background checks on key individuals associated with the project, and the refusal by the key individuals to provide necessary authorizations will be a reason to reject any application for further consideration. Background information and the results of any background checks will be kept confidential.